

Figure: 37 TAC §36.60(a)

Violation	1st Violation	2nd within 2 years	3rd within 2 years	4th within 2 years
<b>Address</b>				
Address on File. (37 TAC §36.3)	Reprimand	Admin Penalty up to \$250	Suspension 60 days	Revocation
<b>Forms</b>				
Forms. (37 TAC §36.4)	Reprimand	Admin Penalty up to \$250	Suspension 60 days	Revocation
Declaration of Extent of Catalytic Converter Transactions (Occ. Code §1956.022, .024, or .127)	Admin Penalty up to \$250	Admin Penalty up to \$500	Suspension 60 days	Revocation
<b>Ownership</b>				
Change in Ownership. (37 TAC §36.13)	Admin Penalty up to \$250	Admin Penalty up to \$500	Suspension 60 days	Revocation
<b>Locations</b>				
Adding or Changing Locations. (37 TAC §36.18)	Admin Penalty up to \$250	Admin Penalty up to \$500	Suspension 60 days	Revocation
Notice to Sellers. (Occ. Code §1956.031)	Admin Penalty up to \$250	Admin Penalty up to \$500	Suspension 60 days	Revocation
Display Current Certificate of Registration (37 TAC §36.15(c))	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
<b>Records</b>				
Information Regarding Seller. (Occ. Code §1956.032)	Reprimand	Admin Penalty up to \$500	Admin Penalty up to \$1000	Revocation
Record of Purchase. (Occ. Code §1956.033)	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Documentation of Fire-Salvaged Insulated Communications Wire. (37 TAC §36.33)	Reprimand	Admin Penalty up to \$500	Admin Penalty up to \$1000	Revocation
Photograph or Recording Requirement (Occ. Code §1956.0331)	Reprimand	Admin Penalty up to \$500	Admin Penalty up to \$1000	Revocation
Preservation of Records. (Occ. Code §1956.034)	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Inspection of Records. (Occ. Code §1956.035)	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Reporting Requirements. (37 TAC §36.31)	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Furnishing of Report to Department. (Occ. Code §1956.036)	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Placement of Items on Hold. (Occ. Code §1956.037)	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation

<b>Conduct</b>				
Standards of Conduct. (37 TAC §36.36 (a), (b), or (d))	Admin Penalty up to \$250	Admin Penalty up to \$500	Suspension 60 days	Revocation
Explosives. (37 TAC §36.36 (c))	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Hours for Purchasing Material. (Occ. Code §1956.039)	Reprimand	Admin Penalty up to \$250	Suspension 60 days	Revocation
Notice of Restrictions. (Occ. Code §1956.104)	Reprimand	Admin Penalty up to \$250	Suspension 60 days	Revocation
Operating After Expiration of Registration. (Occ. Code §1956.021; §1956.023(d))	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Misrepresentation of Registration Status When Expired. (Occ Code §1956.021; §1956.023(d))	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Catalytic Converter Requirements. (Occ. Code §1956.0321; §1956.033; §1956.034; §1956.125)	Admin Penalty up to \$500	Admin Penalty up to \$1,000	Suspension 60 days	Revocation
Catalytic Converter Requirements. (Occ. Code §1956.123; Occ. Code §1956.124)	Admin Penalty up to \$5,000	Admin Penalty up to \$10,000	Suspension 60 days and Admin Penalty up to \$10,000	Revocation and Admin Penalty up to \$10,000
<b>Training</b>				
Texas Metals Program Recycler Training. (37 TAC §36.34)	Reprimand	Admin Penalty up to \$250	Suspension 60 days	Revocation
<b>Payment</b>				
Payment by Metal Recycling Entity. (37 TAC §36.35, Occ. Code §1956.0381, or §1956.038(b))	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Cash Transaction Card. (Occ. Code, §1956.0382)	Admin Penalty up to \$500	Admin Penalty up to \$1000	Suspension 60 days	Revocation
Cash Transaction Card. (37 TAC §36.37)	Reprimand	Admin Penalty up to \$500	Admin Penalty up to \$1000	Revocation
<b>Solicitation</b>				
Solicitation of Purchase at Prohibited Location. (Occ. Code §1956.203)	Admin Penalty up to \$250	Admin Penalty up to \$500	Suspension 60 days	Revocation

Please note: For violations not listed above, the department may impose a penalty not to exceed \$500 for the first violation, and \$1,000 for the second violation within the preceding one-year period, under the authority of Rule 36.60(a).